

Company	Title
5 Star Life	Director, Compliance
AIG	Deputy Chief Compliance Officer
Allianz Life of North America	Deputy Chief Suitability Officer
Allstate Financial	(did not include)
Allstate Financial	Operational Risk and Compliance Manager
Allstate Financial	Regulatory Compliance Officer
Allstate Financial	Suitability
Allstate Financial	Territorial Compliance Officer
Allstate Life	Sr. Compliance Division Manager
American Family Life	Life Compliance Manager
American-Amicable Life of TX	AVP and Compliance Officer
Americo	Compliance Director
Ameritas Life	Director Corporate Compliance
Amica Life	Assistant Vice President
Amica Life	Compliance Officer
Amica Life	Sr. Asst. Vice President
Ann Arbor Annuity Exchange	Field Suitability Compliance Officer
Assurity Life	Assistant General Counsel
Athene Annuity	Compliance Director
BB&T/Crump Life	Sr. Risk Manager
BizTech Solutions, Inc.	Solutions Sales Manager
BizTech Solutions, Inc.	VP Business Development
Bloomberg L.P.	BVAULT

Bloomberg L.P.	Lead Generation
Boston Mutual Life	CCO
Brost Law Offices LLC	Attorney
Business Information Group	National Sales Executive
Business Information Group	President
Catholic Order of Foresters	Legal Counsel
CFM Partners	Business Development Consultant
CFM Partners	Business Manager
CFM Partners Inc	President & CEO
Charles Schwab	Business Development Officer
Charles Schwab	Business Development Officer
Colorado Bankers Life	Vice President-Corporate Services
Columbian Mutual Life	Assistant Vice President, Compliance & Assistant Secretary
Compliance Assurance Corporation	Senior Account Executive
COUNTRY Financial	Securities Compliance Specialist
Cozen O'Connor	Member
Crump Life	General Counsel
CSI	Business Development Director
CUNA Mutual Group	Compliance Leader
Delaware Life	AVP Compliance
FBL Financial Group	Senior Compliance Analyst
FinCEN	Senior Liaison Officer
FINRA	Executive Vice President, Regulatory Policy and Legal Compliance Officer
FINRA	Regulator

First Consulting & Administration, Inc.	Senior Consultant
First Consulting & Administration, Inc.	Senior Consultant
First Investors Corporation	Compliance Examiner
Foresters	VP US Sales
Foresters	VP, General Counsel Legal Services
GamePlan Financial/Allianz Life	Suitability Officer
Genworth	Compliance Leader
Genworth	Corporate Ombudsperson
Gerber Life	Compliance Specialist
Gibson Dunn & Crutcher LLP	Partner
Great American Financial Resources, Inc.	Compliance Manager
Guardian Life	CCO
Guardian Life	CCO, Individual Life
Guardian Life	CCO, Individual Life & Wealth Management
Guardian Life	Director Market Conduct & Compliance
Guardian Life	Market Conduct- Sr. Project Manager
Hartford Funds	Chief Compliance Officer
Health Benefits One LLC	Chief Compliance Officer
Hearsay Social	(did not include)
Hearsay Social	Account Executive
Hearsay Social	Business Development
Hearsay Social	Director of Engineering
Homesteaders Life	Director, Compliance
Insurance Compliance Insight	Editor

Intralinks	(not provided)
Intralinks	Solutions Architect
John Hancock	AVP, US Compliance
John Hancock	CCO, Insurance
John Hancock	Director
John Hancock	Sr. Compliance Consultant
John Hancock	Sr. Director
Knights of Columbus	Deputy Chief Compliance Officer
Knights of Columbus	Director, Operational Compliance
Landon Associates, Inc.	President
LexisNexis	VP and General Manager Life
LIMRA	AVP, Regulator Relations
LIMRA	Business Development
LIMRA	Business Relationship Coordinator
LIMRA	Conference Coordinator
LIMRA	Corporate Vice President
LIMRA	Customer Experience
LIMRA	Development Director, Compliance
LIMRA	Research Director
LIMRA	Senior Regulatory Advisor
Lincoln Financial Group	AVP
Lincoln Financial Group	Director, Compliance
Lincoln Financial Group	Director, Quality Assurance
Lincoln Financial Group	Senior Consultant

Lincoln Financial Group	Vice President & Chief Compliance Officer
Lincoln Financial Group	VP & CCO, Life & Annuity
Lincoln Financial Group	VP, Head of Business Risk Management
Lincoln Financial Group	Deputy Ethics & Compliance Officer
Lincoln Heritage Life	Vice President
Lincoln Heritage Life	Vice President, Compliance Officer
LOMA	AVP Member Solutions
LOMA	Second VP
LOMA	Senior Associate
LOMA	Senior Meeting Planner
Maddock Douglas, Inc.	Managing Principal " Insurance & Financial Services Innovation
MassMutual	Assistant Vice President
MassMutual	Assistant VP & AML Officer
MassMutual	Compliance Director
MassMutual	Multicultural Markets
MetLife	AVP
MetLife	Director, Compliance Risk Management
MetLife	Vice President
MIB, Inc.	Regional Vice President
MIB, Inc.	Senior Manager, New Business Development
Mutual of Omaha	Compliance Consultant
Mutual of Omaha	Product and Advertising Compliance Consultant
National Life Group	2nd Vice President
National Life Group	Manager Life Service Call Center

National Regulatory Services	Business Development Manager
National Regulatory Services	VP of Sales
Nationwide Financial	Compliance Director
Nationwide Funds Group	Chief Compliance Officer
Nationwide Life	Compliance Consultant
New York Life	Corporate VP Underwriting
New York Life	Vice President
New York Life	Vice President
New York Life	VP, Chief Info Security Officer
NJ Department of Banking & Insurance	Director
Northwestern Mutual	Assistant Director
Northwestern Mutual	Asst. Director - Annuity Compliance
Northwestern Mutual	Consultant, Policyowner Services
Northwestern Mutual	Director - Compliance
Northwestern Mutual	Director, Supervision, Beneficiary and Title and Special Handling Research Center -
Northwestern Mutual	Director-Enterprise Compliance
Northwestern Mutual	Manager, Policyowner Services
Northwestern Mutual	Senior Specialist
Northwestern Mutual	Vice President and Chief Compliance Officer
Novarica	VP, Research and Consulting
NRS	Consultant
Ohio National Financial Services	Compliance Officer
OneAmerica	Director, Compliance Services and Advertising Review
Oyster Consulting, LLC	Senior Consultant

Pacific Life	Director, Compliance
Pacific Life	Director, Regulatory Affairs
Pacific Life	Regulatory Compliance
Pacific Life	Sr. Regulatory Affairs Analyst
Penn Mutual Life	Chief Compliance Officer
Penn Mutual Life	Director, Insurance & Advertising Compliance
Phoenix	AVP Compliance
Phoenix	Broker Dealer Compliance Manager
Phoenix	VP, Product & Operations
Pinpoint Global Communications	President and CEO
Pinpoint Global Communications	VP Product Management
Principal Financial Group	Assistant Director, Compliance
Principal Financial Group	Chief Compliance Officer
Protective Life	Compliance Records Manager
Prudential	VP, Compliance
RegEd	Executive Vice President
RGA	Chief Compliance Counsel
Sammons Financial Group	Director - Annuity Suitability
Sammons Retirement Solutions/Midland National	Sr. Compliance Consultant
SBLI of MA	VP, CCO & Counsel
Securian Financial Group	Compliance Manager
Securian Financial Group	Life Compliance Analyst
Southern Farm Bureau Life	Vice President, Chief Compliance Officer
Starmount Life	Vice President of Compliance & Human Resources

State Farm Insurance	Compliance Analyst
State Farm Insurance	Life/Health Manager - Compliance
State Farm Insurance	Sr. Compliance Analyst
Sun Life Financial	AVP Compliance
Sun Life Financial	Compliance AVP
Sun Life Financial	Compliance Director
Sutherland Asbill & Brennan	Partner
Sutherland Asbill & Brennan	Partner
Symetra	Assistant Vice President, Compliance
Symetra	Compliance Manager
The Compliance & Ethics Forum for Life Insurers	Vice President - Compliance
The Co-operators	Compensation & Compliance Advisor
Thriven Financial	Senior Counsel
Thrivent Financial	Director, Insurance Compliance
Thrivent Financial	Manager, MDRP
Thrivent Financial	Sr Compliance Analyst
Thrivent Financial	Vice President & Managing Counsel
Thrivent Financial	Vice President of BD&IA Supervision
Thrivent Financial/Brightpeak Financial	Chief Legal Officer
Thrivent Financial/Brightpeak Financial	Counsel / Compliance Officer
Thrivent Financial/Brightpeak Financial	Vice President, IT & Business Operations
Thrivent Investment Management Inc.	Chief Legal Officer
Toppan	Senior Vice President of Sales
Transamerica	Lead Compliance Analyst

Transamerica	Sr. Business Review Manager
Transamerica	Sr. Compliance Analyst
VA Bureau Of Insurance	Manager, Special Projects
Western & Southern Financial Group	AVP
Western & Southern Financial Group	Compliance Auditor
Western & Southern Financial Group	Executive Manager
Wolters Kluwer Financial Services	Account Manager
Woodmen Financial Services	President & CEO
Woodmen of the World	Compliance Specialist