

2018 Regulatory Compliance Exchange Attendee List

Company Name	Job Title
Advisors Excel	General Counsel
AIG Life & Retirement	Elder & Vulnerable Client Care Officer
Allianz Life	Chief Distribution Compliance Officer
Allianz Life	Compliance Officer
Allianz Life	Suitability Program Owner
Allianz Life Insurance Co. of North America	Sr. Manager, Distribution Business Development
Allianz Life Insurance Co of North America	Vice President, Enterprise Operations
Allstate Insurance Company	Regulatory Compliance Risk Manager
Allstate Life	Sr. Compliance Division Manager
Allstate Life	Compliance Senior Division Manager
American Amicable Life Ins. Co. of Texas	AVP and Compliance Officer
American Equity	VP of Suitability
American Family Insurance	Compliance Specialist
Americo, Inc.	Senior Regulatory Compliance Specialist
AmeriLife	Senior Vice President
Amerilife	President of Annuities/Retirement Planning
Ameritas	2nd VP Supervision
Ameritas Life Insurance Corp	2nd VP
Athene	Director of Compliance
AXA US	Cheif Financial Crime Officer
Bankers Life Securities, Inc.	Chief Compliance Officer
Boston Mutual Life Insurance Company	Second Vice President, CCO & Counsel
Business Information Group	National Sales Executive
CMFG Life Insurance Company	Compliance Operations Manager
Columbian Mutual Life Insurance Company	Second VP, Compliance & Asst. Secretary
Computer Services, Inc.	Senior Account Executive
Co-Operators Financial Services Ltd.	Manager, Compliance
Crump Life	Market Conduct Officer
Debevoise & Plimpton LLP	Partner
Deloitte	Senior Manager
Deloitte	Principal
Edward Jones	Insurance Field Supervision Director
Farm Bureau Life Insurance Company	Compliance Manager
FBL Financial Group / Farm Bureau Financial	Chief Administrative Officer
Federal Reserve Bank of St. Louis	Assistant Vice President
Fidelity Life Association	CCO, Deputy General Counsel
FINRA	Director Regulatory Analysis
Genworth Financial	Compliance Leader
Gerber Life Insurance Company	Compliance Manager Consumer & Operations
Governor's Office of Elderly Affairs	Director Elderly Protective Services
Great American Insurance Group	Compliance Manager
Great-West Life/Empower Retirement	SR Manager
Guardian Life Insurance	Compliance Officer/Branch Examination
Guardian Life Insurance Company of America	Counsel
IDology	Sales Manager
John Hancock Retirement Plan Services	Director, Operations Compliance

Landmark Life Insurance Company	Legal & Compliance
Landon Associates, Inc.	President
Legacy Marketing Group	Vice President of Compliance & Administration
Life Insurance Council of New York	Managing Director for Legislative and Regulatory Affairs
Lincoln Financial Group	Senior Compliance Manager
Lincoln Heritage Life Insurance Company	Vice President & Compliance Officer
Massachusetts Mutual	Vice President and Assistant General Counsel
MassMutual	AVP, Field Compliance
MassMutual	AVP, Advertising Compliance
MassMutual	Assistant Vice President and AML Officer
MassMutual Financial Services Group	Vice President
MetLife	Policy Development Consultant
Milliman	Compliance Analyst
Modern Woodmen of America	Manager, Compliance Department
Modern Woodmen of America	Compliance Principal
Mutual of Omaha	Compliance Consultant
National Life Group	Chief Compliance Officer, Life & Annuity
National Life Group	Chief Compliance Officer
Nationwide	Managing Counsel
Nationwide Financial Services	Compliance Director
New York Life	Corporate Vice President
New York Life Insurance	Senior Compliance Associate
New York Life Insurance	Corporate Vice President
New York Life Insurance Co.	Vice President
New York Life Insurance Company	Corporate Vice President
New York Life Insurance Company	Vice President
New York Life Insurance Company	Senior Associate
New York Life Insurance Company	Corporate Vice President
Northwestern Mutual	Monitoring Systems and Oversight Manager
Northwestern Mutual	Business Information Security Liaison
Northwestern Mutual	Regulatory Response Consultant
Northwestern Mutual	Assistant Director, Annuity Compliance
NORTHWESTERN MUTUAL	Director, Enterprise Compliance
Northwestern Mutual	Assistant Director, Integrated Risk Regulatory
Northwestern Mutual	Compliance Consultant
Northwestern Mutual Life Insurance Company	Director of Privacy and Information Risk Management
OneAmerica	Vice President & Chief Compliance Officer
OneAmerica	Director, Advertising Review and Training
Pacific Life	Vice President, Compliance
Pacific Life	Director, Compliance
Pacific Life Insurance Company	Supervisor, OPS Compliance
Pacific Life Insurance Company	Assistant Vice President, Innovation Management
Pacific Life Insurance Company	Director, Corporate Regulatory Affairs
Penn Mutual Life Insurance Company	Chief Compliance Officer, Insurance Products & Services
Phoenix Life Insurance Company	Phoenix Suitability Officer
Pinpoint Global Communications	President and CEO
Pinpoint Global Communications	VP Product Management
Principal	Director
Principal	Director, Compliance

Principal	CCO--Individual Life and Annuity
Principle Compliance	Principal
Prudential	Vice President, Governance & Controls
Prudential Advisors	Director
RegEd	Senior Vice President, Sales
RegEd	Chief Strategy Officer
RegEd	SVP Sales
RGAx	Vice President
Sammons Financial Group	Sr. Variable Compliance Consultant
Sammons Financial Group	Director, Suitability/Compliance
Sammons Financial Network, LLC	CCO / Broker Dealer
Securian	Senior Compliance Analyst
Securian Financial	Marketing & Customer Engagement Manager
Securian Financial Group	Manager
Securian Financial Group	Director, Group Compliance
Securian Financial Group	Associate Counsel
Securities & Exchange Commission	Assistant Regional Director
Southern Farm Bureau Life Insurance Company	V.P., Chief Compliance Officer
State Farm	Manager
State Farm	Director - Life/Health Risk Management ? Compliance
State Farm	Sr. Risk and Compliance Analyst
State Farm Insurance	Manager, Life/Health Risk Management & Compliance
Symetra Life Insurance	Compliance Manager
Symetra Life Insurance Company	Assistant Director
TD Life Group	Associate Vice President, Government and Industry Relations
Texas Life	Director of Compliance
The Compliance & Ethics Forum for Life Insurers	Vice President - Compliance & Ethics
The Co-operators	Director of Compliance
ThreatMetrix	Insurance Sector Business Development
ThreatMetrix	Vice President - Solutions Consulting
ThreatMetrix	VP, Global Business Development
Thrivent Financial	Manager, Insurance and Variable Product Compliance
Thrivent Financial	Compliance Manager
Thrivent Financial	Compliance Analyst
Thrivent Financial	Manager, Regulatory Services
TIAA	Compliance Director
Tulane University	Professor
USAA Life Ins. Co.	Compliance Advisor 1
Voya Financial	Chief Compliance Officer, VP
Wolters Kluwer Financial Services	Account Executive
Wolters Kluwer Financial Services	Account Manager
WoodmenLife	Paralegal, Supervisor Compliance/Legal Support
World Financial Group Insurance Agency	Director of Compliance