

2017 Regulatory Compliance Exchange Attendee's Titles & Company Name

Company:	Title:
Aite Group	Sr Analyst/Consultant
Allianz Life	Chief Suitability Officer
Allianz Life	Suitability Compliance Manager
Allianz Life Insurance Co of NA	Chief Distribution Compliance Officer
Allianz Life Insurance Co of NA	Compliance Officer
American Equity	VP of Suitability
American Farmers & Ranchers Life	Life Director
American Fidelity Assurance	Compliance Analyst
Americo	Compliance Director
Amica Life Insurance Company	Compliance Supervisor
Athene USA	VP, Compliance
Bankers Life Securities, Inc.	Chief Compliance Officer
Brighthouse Financial	Senior Compliance Associate
Business Information Group	National Sales Executive
Business Information Group	President
Catholic Order of Foresters	General Counsel
Catholic Order of Foresters	Annuity and Settlement Supervisor
CNO Financial Group, Inc.	Manager, Operational Risk Management
Computer Services, Inc.	Business Development Director
Computer Services, Inc.	Business Development Director
Co-Operators Financial Services Ltd.	Manager, Compliance
Coverager	Founder
CUNA Mutual Group	Sr. Manager II
Debevoise & Plimpton LLP	Partner
Delaware Life Insurance Company	Associate Director, Compliance
Deloitte	Specialist Leader
Deloitte and Touche	Senior Manager
DLI NORTH AMERICA (Dai-ichi Life Group)	V.P.

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EquiTrust Life Insurance Company	Compliance Manager
Farm Bureau Life Insurance Company	Compliance Manager
FINRA	Director Regulatory Policy
First Consulting & Administration, Inc.	Senior Consultant
Foresters Financial	Assistant Vice President, Enterprise Compliance
Frost Brown Todd	Associate Attorney
Futurity First Financial Corporation	Chief Compliance Officer
Genworth Financial	Compliance Leader
Great American Insurance Group	Manager
Groom Law Group	Attorney
Guardian Life	Manager, RIA Compliance
Guardian Life Insurance Company of America	Director, Counsel
Homesteaders Life Company	Director-Compliance & Government Relations
Homesteaders Life Company	EVP General Counsel
Infosys McCamish	Compliance Officer
Insured Retirement Institute (IRI)	President & CEO
Investors Group	Assistant Vice-President, Compliance Investigations
Istonish	Business Development Executive
Istonish	Chief Executive Officer
JHPCo., Inc.	Managing Editor
John Hancock	Chief Compliance Officer, Insurance
John Hancock	AVP, US Compliance
John Hancock Financial Services	Assistant Counsel & Director
John Hancock Retirement Plan Services	AVP & Chief Compliance Officer
Knights of Columbus	Compliance Analyst
Legacy Marketing Group	Vice President of Compliance & Administration
Liberty Bankers Life Insurance Company	Vice President, Compliance
Lincoln Financial Group	Compliance Manager

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Lincoln Financial Group	AVP & Privacy Officer
Lincoln Financial Group	VP & Chief Compliance Officer, Life and Annuities
Lincoln Heritage Life Insurance Company	Vice President & Compliance Officer
LL Global Services	Chief Membership Officer, LIMRA & LOMA
LL Global Services, Inc.	Senior Marketing Specialist
MassMutual	Vice President
MassMutual Financial Group	Assistant Vice President
Milliman	Compliance Analyst
MWA Financial Services, Inc.	CCO/COO
National Life Group	Chief Compliance Officer, Life & Annuity
National Life Group	AVP, Contracting & Licensing
Nationwide Life Insurance Company	Compliance Director
New York Life	CISO
New York Life Insurance	Corporate Vice President
New York Life Insurance Company	Senior Associate
New York Life Insurance Company	Corporate Vice President
New York Life Insurance Company	Vice President
New York Life Insurance Company	Corporate Vice President
Niland Consulting, LLC	Senior Regulatory Advisor
Northwestern Mutual	Regulatory Response Senior Specialist
Northwestern Mutual	Asst. Director SIU & Field Investigations
NORTHWESTERN MUTUAL	Director, Enterprise Compliance
Northwestern Mutual Life Insurance Company	Director of Integrated Risk and Regulatory Services
NOVARICA	VP Research & Consulting
Nyhart	Director
Ohio National Financial Services	2nd VP, Corporate Compliance & Ethics
OneAmerica	Advertising Analyst
Pacific Life	Vice President, Compliance

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Pacific Life Insurance Company	Director, Compliance
Pacific Life Insurance Company	Sr. Compliance Suitability Analyst
Pacific Life Insurance Company	Compliance Analyst
Pacific Life Insurance Company	Director, Corporate Regulatory Affairs
Pacific Life Insurance Company	Sr. Regulatory Affairs Analyst
Penn Mutual Life Insurance Company	Chief Compliance Officer - Distribution
Pershing	Director - Retirement Products
Phoenix Life Insurance	Suitability Officer & Director, New Business Operations
Phoenix, A Nassau Re Company	2nd Vice President, Chief Compliance Officer
Pinpoint Global Communications	President & CEO
Pinpoint Global Communications	VP Product Management
Principal	Assistant Director, Compliance
Principal	CCO--Individual Life and Annuity
Principal	Assistant Vice President, Compliance
Prudential	Director - Complaint Handling
Prudential	Vice President, Compliance
Prudential	VP, Compliance
Prudential Advisors	Vice President
Prudential Financial	Compliance Analyst
Prudential Insurance	Chief Control Officer, Complaint Handling
RegEd	SVP Sales
RegEd, Inc.	SVP, Sales
RGAx	Vice President
Sagicor Life Insurance Company	Compliance Attorney
Sammons Financial Group	AVP, Sales Administration & 38a-1 CCO
Sammons Financial Group	Director, Suitability/Compliance
Sammons Financial Group/Sammons Retirement Solutions	Sr. Variable Compliance Consultant
Savings Bank Life of Massachusetts (Massachusetts)	Vice President and Chief Compliance Officer

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Securian Financial Group	Manager Individual Life Compliance
Standard Insurance Company	Director, ISG Compliance
State Farm	Leadership Development Associate
State Farm	Life/Health Manager
State Farm Life Insurance Companies	Manager - Compliance Consulting
Sun Life Financial	AVP, Compliance
Sun Life Financial Employee Benefits Grp	Associate Director
Sutherland Asbill & Brennan LLP	Partner
Symetra Life Insurance	Compliance Manager
The Compliance & Ethics Forum for Life Insurers	Vice President - Compliance & Ethics
The Compliance & Ethics Forum for Life Insurers	President & CEO
The Co-operators	Senior Compliance Manager
The Co-operators	Senior Licensing Compliance Officer
Thrivent Financial	Manager, Insurance and Variable Product Compliance
Thrivent Financial	Instructional Designer/Technologist
Thrivent Financial	Vice President, Head of Supervision
TIAA	Compliance Director
TIAA Life	Sr. Director Business Risk and Control
USAA	Sr. Compliance Advisor
Wealth2k, Inc.	Founder & CEO
Western and Southern Financial Group	Compliance Auditor
Wisconsin Office of the Commissioner of Insurance	Commissioner
Wolters Kluwer	Sr. Director, ICS Business Developmen
Wolters Kluwer	Account Executive
Wolters Kluwer Financial Services	Account Manager